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## UNITED STATES PATENT AND TRADEMARK OFFICE

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Bib Data Sheet

CONFIRMATION NO. 2234

|   |   |  |                               |   |
|---|---|--|-------------------------------|---|
| <b>SERIAL NUMBER</b><br>09/399,412  | <b>FILING DATE</b><br>09/20/1999<br><b>RULE</b>   | <b>CLASS</b><br>701  | <b>GROUP ART UNIT</b><br>3661 | <b>ATTORNEY DOCKET NO.</b><br>CRD-99304 |
| <b>APPLICANTS</b><br>MICHAEL E. RING, CROWN POINT, IN;<br><b>** CONTINUING DATA *****</b><br><b>** FOREIGN APPLICATIONS *****</b><br><b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED</b><br><b>** 10/05/1999</b>   |   |  |                               |   |
| Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no<br>35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after<br>met <input type="checkbox"/> Allowance<br>Verified and Acknowledged <u>BTD</u><br>Examiner's Signature Initials |   | <b>STATE OR COUNTRY</b><br>IN                                  | <b>SHEETS DRAWING</b><br>7    | <b>TOTAL CLAIMS</b><br>19               |
|   |   |  |                               | <b>INDEPENDENT CLAIMS</b><br>2          |
| <b>ADDRESS</b><br>JAMES RAY & ASSOCIATES<br>2640 PITCAIRN ROAD<br>MONROEVILLE, PA 15146   |   |  |                               |   |
| <b>TITLE</b><br>METHOD OF AND AN APPARATUS FOR ENHANCING THE BRAKING EFFICIENCY OF A RAILWAY<br>FREIGHT TRAIN CONSIST   |   |  |                               |   |
| <b>FILING FEE RECEIVED</b><br>890   | FEES: Authority has been given in Paper<br>No. _____ to charge/credit DEPOSIT ACCOUNT<br>No. _____ for following: | <input type="checkbox"/> All Fees                              |                               |   |
|   |   | <input type="checkbox"/> 1.16 Fees ( Filing )                  |                               |   |
|   |   | <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) |                               |   |
|   |   | <input type="checkbox"/> 1.18 Fees ( Issue )                   |                               |   |
|   |   | <input type="checkbox"/> Other _____                           |                               |   |
|   |   | <input type="checkbox"/> Credit                                |                               |   |

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OCT 12 2001

TO 3600 MAIL ROOM



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re application of:

Michael E. Ring

Filed: September 20, 1999

Serial No.: 09/399,412

For: A METHOD OF AND AN APPARATUS  
FOR ENHANCING THE BRAKING  
EFFICIENCY OF A RAILWAY FREIGHT  
TRAIN CONSIST

Group Art Unit: 3682

Examiner: TBA

Attorney Docket: CRD 99304

Date: September 4, 2001

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ASSISTANT COMMISSIONER OF PATENTS  
WASHINGTON, D.C. 20231

OCT 12 2001

ATTN: APPLICATION PROCESSING DIVISION'S  
CUSTOMER CORRECTION BRANCH

TO 3600 MAIL ROOM

## REQUEST FOR CORRECTED FILING RECEIPT

Sir:

Enclosed, herewith, is a copy of a Filing Receipt we received on August 18, 2001. Please note the Filing Receipt shows an incorrect number of total claims, the correct total claims is **19**. Enclosed is a copy of the Continuation Prosecution Application Transmittal Letter and the Amendment filed July 2, 2001 showing that claim 4 was deleted.

It is, therefore, requested that a corrected Filing Receipt be issued for this application.

Respectfully submitted,  
JAMES RAY & ASSOCIATES

*James O. Ray, Jr.*  
James O. Ray, Jr.  
Agent for Applicant(s)  
Registration No. 27,666

JAMES RAY & ASSOCIATES  
2640 PITCAIRN ROAD  
MONROEVILLE, PA 15146

TELEPHONE: 412-380-0725  
FACSIMILE: 412-380-0748



# CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL

(Only for Continuation or Divisional applications under 37 CFR 1.53(d))

In re application: )  
Michael E. Ring )  
Serial No.: 09/399,412 )  
Filed: September 20, 1999 )  
Group Art Unit: 3661  
Examiner: Broadhead, B.  
Attorney Docket: CRD 99304  
Date: August 8, 2001

For: A METHOD OF AND AN APPARATUS FOR ENHANCING THE BRAKING  
EFFICIENCY OF A RAILWAY FREIGHT TRAIN CONSIST

Assistant Commissioner for Patents  
Washington, D.C. 20231

Attn: Box CPA

OCT 12 2001

TO 3600 MAIL ROOM

Request for filing a: ☒ continuation or ☐ divisional application under 37 CFR 1.53(d) Continuation  
Prosecution Application of prior application Number 09/399,412, filed on September 20, 1999 entitled:  
A METHOD OF AND AN APPARATUS FOR ENHANCING THE BRAKING EFFICIENCY OF A RAILWAY FREIGHT  
TRAIN CONSIST by the following named inventor(s): Michael E. Ring

1. ☒ Enter the unentered amendment previously filed on July 2, 2001  
under 37 CFR 1.116 in the prior nonprovisional application.
2. ☐ A Preliminary Amendment is enclosed.
3. ☐ This application is filed by fewer than all the inventors named in the prior application, 37 CFR .53(d)(4)
  - a. ☐ Delete the following inventor(s) named in the prior non provisional  
application: \_\_\_\_\_
  - b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
4. ☐ A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.
5. ☐ Information Disclosure Statement (IDS) is enclosed:
  - a. ☐ PTO-1449
  - b. ☐ Copies of IDS Citations

## CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231.  
EXPRESS MAIL (EL 729577054 US)

Susette Flaherty  
(Type or print name of person mailing paper)

Date: August 8, 2001

Susette Flaherty  
(Signature of person mailing paper)



| (1)FOR   | (2)NUMBER FILED | (3)NUMBER EXTRA | (4)RATE               | (5)CALCULATIONS      |
|--|-----------------|-----------------|-----------------------|----------------------|
| <b>TOTAL CLAIMS</b><br>37 CFR 1.16(c)                                      | 19-20=          | 0               | x\$ 18 =              | \$ 0                 |
| <b>INDEPENDENT CLAIMS</b> 37 CFR 1.16(b)                                   | 2- 3=           | 0               | x\$ 80 =              | \$ 0                 |
| <b>MULTIPLE DEPENDENT CLAIMS</b> (If applicable)(37 CFR 1.16(d))           |                 |                 | +\$ =                 |                      |
| <b>BASIC FEE</b><br>(37 CFR 1.16 (a))                                      |                 |                 | Lg. Entity<br>+710.00 | Sm. Entity<br>355.00 |
| <b>TOTAL OF ABOVE CALCULATIONS</b>   |                 |                 | \$ 710.00             |                      |
| Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28.) |                 |                 |                       |                      |
| <b>TOTAL =</b>   |                 |                 |                       | \$710.00             |

6. **Small entity Status:**  
☐ A small entity statement is enclosed.  
☐ A small entity statement was filed in the prior nonprovisional and such status is still proper and desired.  
☐ is no longer claimed.
7. The commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. \_\_\_\_\_
- a. ☐ Fees required under 37 CFR 1.16(a).  
b. ☐ Fees required under 37 CFR 1.17(a).  
c. ☐ Fees required under 37 CFR 1.18.
8. ☒ Check No. 5284 in the amount of \$710.00 to cover the Filing Fee  
☒ Check No. 5285 in the amount of \$110.00 to cover the Extension of Time Fee
9. ☐ New Attorney Docket Number, if desired \_\_\_\_\_  
[Prior application Attorney Docket Number will carryover to this CPA unless a New Attorney Docket Number has been provided herein.]
10. ☐ Other: \_\_\_\_\_

**NOTE:** The prior application's correspondence address will carry over to this CPA **UNLESS** a new correspondence address is provided below.

Respectfully submitted,

By Michele K. Yoder  
Michele K. Yoder  
Agent for Applicant(s)  
Registration No. 41,562

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